



VARIUM INVESTMENT PARTNERS



INVESTMENT MANAGEMENT
SOLUTIONS

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INVESTMENT MANAGEMENT SOLUTIONS

Varium Investment Partners offers a complete, "End-to-End" Investment Strategy, Implementation, Monitoring, Rebalancing, and Reporting Solution.



- 1 ASSET ALLOCATION MODELLING
- 2 INVESTMENT STRATEGY
- 3 MANAGER SEARCH & VETTING
- 4 IMPLEMENTATION & REBALANCING
- 5 MONITORING AND REPORTING

1 ASSET ALLOCATION MODELLING

Utilizing the latest in fundamental, technical, and quantitative research, Varium Investment Partners develops risk-based asset allocation models designed for investors in every risk category and for every investment philosophy.

- + Strategic and Tactical Asset Allocation Strategies
- + Risk/Reward based Investment Strategy
- + Multiple Risk Based Strategies
 - 1 **Aggressive Growth** - Seeking capital appreciation.
 - 2 **Moderate Growth** - Seeking capital appreciation with an income component
 - 3 **Balanced** - Balancing the need for capital appreciation with income generation
 - 4 **Conservative** - A heavily weighted focus on income generation and preservation of capital
 - 5 **Sustainable Income** - An intense focus on income generation and preservation of capital
 - 6 **Alternative Investments** - A combination of alternative investment strategies designed to exploit opportunities in the market regardless of market conditions on a short, medium, and long-term basis.
- + Custom Allocations based on specific client needs

2 INVESTMENT STRATEGY

We manage Primary and Secondary research on global markets, sectors, and industries to efficiently allocate capital.

- + Identify value/price as the critical initial step in the allocation of capital
- + Recognize the importance of capital preservation in building and maintaining wealth
- + Diversify utilizing multiple asset classes and strategies
- + Avoid unintended consequences
- + Maintain discipline
- + Ensure that our strategic investment management partners maintain adherence to their stated philosophy

3 MANAGER SEARCH & VETTING

Proprietary process for discovering, vetting, and monitoring the universe of investment managers across all available strategies.

- + A true differentiator amongst our competition - Utilizing the expertise and experience gained as portfolio managers and strategists at an institutional level, Varium Investment Partners brings over 100 years of experience to the manager vetting process.
- + Multiple Qualitative and Quantitative screens for portfolio characteristics and attributes that provide a higher probability for outperformance using active management
- + Well defined vetting process to understand Third Party Managers' process, not just results
- + Risk Adjusted Performance Measurement Comparisons against benchmarks and peers



4 IMPLEMENTATION & REBALANCING

Varium Investment Partners has the expertise and strategic partnerships that provide superior execution, institutional pricing and product guidance that lead to opportunities for better performance.

- + Using our state-of-the-art, institutional-level systems, Varium Investment Partners efficiently implements asset allocation models and investment strategies using managers and investment vehicles that ensure maximum portfolio efficiency, lowest turnover, minimizes taxes and duplication in holdings or factor exposures.

INVESTMENT VEHICLES

- ✓ **Actively Managed Portfolios** - We have built strategic relationships across the spectrum of investment products with world-class investment management firms. We enable advisors to gain access to a broad network of institutional level managers that are normally not available to the average advisor.
- ✓ **Index and Exchange Traded Funds** - ETF's are utilized to gain access to specific sectors of the financial markets where it is impractical or difficult to allocate to an active manager and/or for short-term tactical and opportunistic purposes.
- ✓ **Options and Portfolio Hedging** - Covered call strategies are utilized to enhance returns and supplement income generation opportunities. Portfolio protection strategies that minimize downside volatility without triggering capital gains or increased portfolio turnover provide tactical portfolio benefits.
- ✓ **Alternative Investments** - We are advocates for a well-diversified portfolio of alternative investments and private equity structures to provide downside protection while enhancing the probability for significant upside potential. New structures negate many of the negatives associated with traditional alternatives such as illiquidity, pre-qualifications and large minimums.
- ✓ **Customized Portfolios** - The allocation structure of each investment portfolio is an outgrowth of dialogue between advisor and client and is memorialized in an investment policy statement. This policy statement acts as a working document guiding the management process. Each portfolio is Continually monitored, and clients receive comprehensive and ongoing reports. In addition, advisors and clients are kept informed with regular economic and market commentaries.

5 MONITORING & REPORTING

Portfolios are continuously monitored and measured for performance and rebalancing purposes. State-of-the-art performance measurement and portfolio accounting systems are deployed to ensure maximum portfolio efficiency as well as providing information to both advisors and clients regarding portfolio performance.

- + SMA/UMA Sleeve technology is available to aggregate all portfolios into a single account at the custodial level via third party back office technology providers.
- + Portfolio reporting and client portal services are offered through Addepar. This technology allows reporting across multiple custodians, multiple currencies, public and private markets, multiple geographies to provide an integrated and complete picture of a client's global financial picture.